# Post-quantum key exchange for the Internet and the Open Quantum Safe project



https://eprint.iacr.org/2016/1017

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### Acknowledgements

#### **Collaborators**

- Joppe Bos
- Craig Costello and Michael Naehrig
- Léo Ducas
- Ilya Mironov and Ananth Raghunathan
- Michele Mosca
- Valeria Nikolaenko



Microsoft<sup>®</sup> Research





#### <u>Support</u>

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- Queensland University of Technology
- Tutte Institute for Mathematics and Computing









# Motivation

#### Contemporary cryptography TLS-ECDHE-RSA-AES128-GCM-SHA256



#### When will a large-scale quantum computer be built?

"I estimate a 1/7 chance of breaking RSA-2048 by 2026 and a 1/2 chance by 2031."

> — Michele Mosca, November 2015 https://eprint.iacr.org/2015/1075

### Post-quantum cryptography in academia

#### <u>Conference series</u>

- PQCrypto 2006
- PQCrypto 2008
- PQCrypto 2010
- PQCrypto 2011
- PQCrypto 2013
- PQCrypto 2014
- PQCrypto 2016



#### Post-quantum cryptography in government



"IAD will initiate a transition to quantum resistant algorithms in the not too distant future."

> – NSA Information Assurance Directorate, Aug. 2015

NISTIR 8	3105
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**Report on Post-Quantum Cryptography** 

Lily Chen Stephen Jordan Yi-Kai Liu Dustin Moody Rene Peralta Ray Perlner Daniel Smith-Tone

This publication is available free of charge from: http://dx.doi.org/10.6028/NIST.IR.8105



Apr. 2016

Aug. 2015 (Jan. 2016)

### NIST Post-quantum Crypto Project timeline

September, 2016	Feedback on call for proposals
Fall 2016	Formal call for proposals
November 2017	Deadline for submissions
Early 2018	Workshop – submitters' presentations
3-5 years	Analysis phase
2 years later	Draft standards ready

http://www.nist.gov/pqcrypto

#### Post-quantum / quantum-safe crypto

No known exponential quantum speedup



### Lots of questions

Design better post-quantum key exchange and signature schemes

Improve classical and quantum attacks

Pick parameter sizes

Develop fast, secure implementations

Integrate them into the existing infrastructure

### This talk

- Two key exchange protocols from lattice-based problems
  BCNS15: key exchange from the ring learning with errors problem
  - Frodo: key exchange from the learning with errors problem
- Open Quantum Safe project
  - A library for comparing post-quantum primitives
  - Framework for easing integration into applications like OpenSSL

### Why key exchange?

**Premise:** large-scale quantum computers don't exist right now, but we want to protect today's communications against tomorrow's adversary.

Signatures still done with traditional primitives (RSA/ECDSA)

- we only need authentication to be secure now
- benefit: use existing RSA-based PKI

• Key agreement done with ring-LWE, LWE, ...

• Also consider "hybrid" ciphersuites that use post-quantum and traditional elliptic curve

# Learning with errors problems

#### Solving systems of linear equations



Linear system problem: given blue, find red

#### Solving systems of linear equations



Linear system problem: given blue, find red

+

#### Learning with errors problem

<sup>22</sup> 13				
4	1	11	10	
5	5	9	5	
3	9	0	10	
1	3	3	2	
12	7	3	4	
6	5	11	4	
3	3	5	0	

random

 $77\times4$ 

×



secret







#### Learning with errors problem



Computational LWE problem: given blue, find red

#### **Decision** learning with errors problem



Decision LWE problem: given blue, distinguish green from random

#### Toy example versus real-world example



Each row is the cyclic shift of the row above

. . .

 $random \\ \mathbb{Z}_{13}^{7 \times 4}$ 

4	1	11	10
3	4	1	11
2	3	4	1
12	2	3	4
9	12	2	3
10	9	12	2
11	10	9	12

Each row is the cyclic shift of the row above

with a special wrapping rule: x wraps to  $-x \mod 13$ .

. . .

 $\overset{\text{random}}{\mathbb{Z}^{7\times 4}_{13}}$ 



Each row is the cyclic shift of the row above

with a special wrapping rule: x wraps to -x mod 13.

So I only need to tell you the first row.

$$\mathbb{Z}_{13}[x]/\langle x^4+1\rangle$$

	$4 + 1x + 11x^2 + 10x^3$	random
×	$6 + 9x + 11x^2 + 11x^3$	secret
+	$0 - 1x + 1x^2 + 1x^3$	small noise

$$= 10 + 5x + 10x^2 + 7x^3$$



Computational ring-LWE problem: given blue, find red

# Decision ring learning with errors problem



Decision ring-LWE problem: given blue, distinguish green from random

#### Decision ring learning with errors problem with small secrets $\mathbb{Z}_{13}[x]/\langle x^4+1\rangle$



Decision ring-LWE problem: given blue, distinguish green from random

#### Problems



# Key agreement from ring-LWE

Bos, Costello, Naehrig, Stebila.

Post-quantum key exchange for the TLS protocol from the ring learning with errors problem. *IEEE Symposium on Security & Privacy (S&P) 2015.* 

https://www.douglas.stebila.ca/research/papers/SP-BCNS15/

# Decision ring learning with errors problem with short secrets

**Definition.** Let n be a power of 2, q be a prime, and  $R_q = \mathbb{Z}_q[X]/(X^n + 1)$  be the ring of polynomials in X with integer coefficients modulo q and polynomial reduction modulo  $X^n + 1$ . Let  $\chi$  be a distribution over  $R_q$ .

Let 
$$s \xleftarrow{\$} \chi$$
.

Define:

• 
$$O_{\chi,s}$$
: Sample  $a \stackrel{\$}{\leftarrow} \mathcal{U}(R_q), e \stackrel{\$}{\leftarrow} \chi$ ; return  $(a, as + e)$ .

• U: Sample 
$$(a, b') \stackrel{\$}{\leftarrow} \mathcal{U}(R_q \times R_q)$$
; return  $(a, b')$ .

The decision R-LWE problem with short secrets for  $n, q, \chi$ is to distinguish  $O_{\chi,s}$  from U.

### Hardness of decision ring-LWE



#### Practice:

- Assume the best way to solve DRLWE is to solve LWE.
- Assume solving LWE involves a lattice reduction problem.
- Estimate parameters based on runtime of lattice reduction algorithms e.g. [APS15]
- (Ignore non-tightness.)
   [CKMS16]

[LPR10] Lyubashevsky, Piekert, Regev. *EUROCRYPT 2010.* [ACPS15] Applebaum, Cash, Peikert, Sahai. *CRYPTO 2009.* [CKMS16] Chatterjee, Koblitz, Menezes, Sarkar. ePrint 2016/360

# Lyubashevsky, Peikert, Regev

 Public key encryption from ring-LWE

- Lindner, Peikert ePrint 2010, CT-RSA 2011
- Public key encryption from LWE and ring-LWE
- Key exchange from LWE

Ding, Xie, Lin ePrint 2012

 Key exchange from LWE and ring-LWE

Peikert PQCrypto 2014

 Key encapsulation mechanism based on ring-LWE

### Basic ring-LWE-DH key agreement (unauthenticated)

Based on Lindner–Peikert ring-LWE public key encryption scheme

public: "big" *a* in  $R_q = \mathbf{Z}_q[x]/(x^n+1)$ 

#### Alice

secret: random "small" *s, e in R<sub>a</sub>* 

Bob

$$b = a \cdot s + e$$

shared secret:  $s \cdot b' = s \cdot (a \cdot s' \cdot e') \approx s \cdot a \cdot s'$ These are only approximately equal  $\Rightarrow$  need rounding

### Rounding

- Each coefficient of the polynomial is an integer modulo *q*
- Treat each coefficient independently

### **Basic rounding**

- Round either to 0 or q/2
- Treat *q*/2 as 1



This works most of the time: prob. failure 2<sup>-10</sup>.

Not good enough: we need exact key agreement.

#### **Better rounding**

# Bob says which of two regions the value is in:







### **Better rounding**

• If  $| alice - bob | \le q/8$ , then this always works.



 For our parameters, probability | alice – bob | > q/8 is less than 2<sup>-128000.</sup>

Security not affected: revealing
 or
 leaks no information

### Exact ring-LWE-DH key agreement (unauthenticated)

Based on Lindner–Peikert ring-LWE public key encryption scheme

public: "big" *a* in  $R_q = \mathbf{Z}_q[x]/(x^n+1)$ 

Alice

secret:

secret: random "small" *s', e' in R<sub>a</sub>* 

Bob

$$b = a \cdot s + e$$

b' = a • s' + e', 🌗 or 🕂

shared secret:
round(s • b')

random "small" s, e in  $R_q$ 

shared secret:
round(b • s')

# Ring-LWE-DH key agreement

#### **Public parameters**

Decision R-LWE parameters  $q, n, \chi$  $a \stackrel{\$}{\leftarrow} \mathcal{U}(R_q)$ 

Alice		Bob
$s, e \xleftarrow{\hspace{1.5pt}{\$}} \chi$		$s',e' \xleftarrow{\$} \chi$
$b \leftarrow as + e \in R_q$	$\overset{b}{\longrightarrow}$	$b' \leftarrow as' + e' \in R_q$
		$e'' \stackrel{*}{\leftarrow} \chi$
		$v \leftarrow bs' + e'' \in R_q$
		$\overline{v} \stackrel{*}{\leftarrow} \operatorname{dbl}(v) \in R_{2q}$
	$\stackrel{b',c}{\longleftarrow}$	$c \leftarrow \langle \overline{v} \rangle_{2q,2} \in \{0,1\}^n$
$k_A \leftarrow \operatorname{rec}\left(2b's, c\right) \in \{0, 1\}^n$		$k_B \leftarrow \left\lfloor \overline{\overline{v}} \right\rfloor_{2q,2}^{-} \in \{0,1\}^n$

Secure if decision ring learning with errors problem is hard.

#### Parameters

160-bit classical security, 80-bit quantum security

- *n* = 1024
- *q* = 2<sup>32</sup>–1
- $\chi$  = discrete Gaussian with parameter sigma = 8/sqrt(2 $\pi$ )
- Failure: 2-12800
- Total communication: 8.1 KiB

Implementation aspect 1:

#### Polynomial arithmetic

• Polynomial multiplication in  $R_q = \mathbf{Z}_q[x]/(x^{1024}+1)$  done with Nussbaumer's FFT:

If  $2^m = rk$ , then

$$\frac{R[X]}{\langle X^{2^m} + 1 \rangle} \cong \frac{\left(\frac{R[Z]}{\langle Z^r + 1 \rangle}\right)[X]}{\langle X^k - Z \rangle}$$

- Rather than working modulo degree-1024 polynomial with coefficients in Z<sub>q</sub>, work modulo:
  - degree-256 polynomial whose coefficients are themselves polynomials modulo a degree-4 polynomial,
  - or degree-32 polynomials whose coefficients are polynomials modulo degree-8 polynomials whose coefficients are polynomials
  - or ...

#### Implementation aspect 2: Sampling discrete Gaussians

$$D_{\mathbb{Z},\sigma}(x) = \frac{1}{S}e^{-\frac{x^2}{2\sigma^2}} \quad \text{for } x \in \mathbb{Z}, \sigma \approx 3.2, S = 8$$

- Security proofs require "small" elements sampled within statistical distance 2<sup>-128</sup> of the true discrete Gaussian
- We use inversion sampling: precompute table of cumulative probabilities
  - For us: 52 elements, size = 10000 bits
- Sampling each coefficient requires six 192-bit integer comparisons and there are 1024 coefficients
  - 51 1024 for constant time

#### Sampling is expensive

Operation	$\mathbf{Cycles}$			
Operation	constant-time	${f non-constant-time}$		
sample $\stackrel{\hspace{0.1em}\scriptscriptstyle\$}{\leftarrow} \chi$	1042700	668000		
FFT multiplication	342800			
FFT addition	1660			
$dbl(\cdot)$ and crossrounding $\langle \cdot \rangle_{2q,2}$	23500	21300		
rounding $\lfloor \cdot \rfloor_{2q,2}$	5500	3,700		
$reconciliation rec(\cdot, \cdot)$	14400	6800		

#### "NewHope"

Alkim, Ducas, Pöppelman, Schwabe. USENIX Security 2016

- New parameters
- Different error distribution
- Improved performance
- Pseudorandomly generated parameters
- Further performance improvements by others [GS16,LN16,...]

#### Google Security Blog

Experimenting with Post-Quantum Cryptography

July 7, 2016



https://security.googleblog.com/2016/07/experimenting-with-post-quantum.html

# Key agreement from LWE

Bos, Costello, Ducas, Mironov, Naehrig, Nikolaenko, Raghunathan, Stebila. Frodo: Take off the ring! Practical, quantum-safe key exchange from LWE. *ACM Conference on Computer and Communications Security (CCS) 2016.* 

https://eprint.iacr.org/2016/659



640 × 256 × 12 bits = **245 KiB** 





Cyclic structure

 $\Rightarrow$  Save communication, more efficient computation

4 KiB representation



# Why consider (slower, bigger) LWE?

#### Generic vs. ideal lattices

- Ring-LWE matrices have additional structure
  - Relies on hardness of a problem in ideal lattices
- LWE matrices have
   no additional structure
  - Relies on hardness of a problem in generic lattices
- NTRU also relies on a problem in a type of ideal lattices

- Currently, best algorithms for ideal lattice problems are essentially the same as for generic lattices
  - Small constant factor improvement in some cases
  - Very recent quantum polynomial time algorithm for Ideal-SVP (<u>http://eprint.iacr.org/2016/885</u>) but not immediately applicable to ring-LWE

If we want to eliminate this additional structure, can we still get an efficient protocol?

#### Decision learning with errors problem with short secrets

**Definition.** Let  $n, q \in \mathbb{N}$ . Let  $\chi$  be a distribution over  $\mathbb{Z}$ .

Let 
$$\mathbf{s} \stackrel{\$}{\leftarrow} \chi^n$$
.

Define:

• 
$$O_{\chi,\mathbf{s}}$$
: Sample  $\mathbf{a} \stackrel{\$}{\leftarrow} \mathcal{U}(\mathbb{Z}_q^n), e \stackrel{\$}{\leftarrow} \chi$ ; return  $(\mathbf{a}, \mathbf{a} \cdot \mathbf{s} + e)$ .

• U: Sample 
$$(\mathbf{a}, b') \stackrel{\$}{\leftarrow} \mathcal{U}(\mathbb{Z}_q^n \times \mathbb{Z}_q)$$
; return  $(\mathbf{a}, b')$ .

The decision LWE problem with short secrets for  $n, q, \chi$ is to distinguish  $O_{\chi, \mathbf{s}}$  from U.

### Hardness of decision LWE



#### Practice:

- Assume the best way to solve DLWE is to solve LWE.
- Assume solving LWE involves a lattice reduction problem.
- Estimate parameters based on runtime of lattice reduction algorithms.
- (Ignore non-tightness.)

## "Frodo": LWE-DH key agreement

Based on Lindner–Peikert LWE key agreement scheme



Secure if decision learning with errors problem is hard (and Gen is a secure PRF).

# Rounding

- We extract 4 bits from each of the 64 matrix entries in the shared secret.
  - More granular form of previous rounding.

Parameter sizes, rounding, and error distribution all found via search scripts.

# **Error distribution**



- Close to discrete Gaussian in terms of Rényi divergence (1.000301)
- Only requires 12 bits of randomness to sample

#### Parameters

<u>"Recommended"</u>

- 144-bit classical security,
   130-bit quantum security,
   103-bit plausible lower bound
- $n = 752, m = 8, q = 2^{15}$
- $\chi$  = approximation to rounded Gaussian with 11 elements
- Failure: 2<sup>-38.9</sup>
- Total communication: 22.6 KiB

# All known variants of the sieving algorithm require a list of vectors to be created of this size

#### "Paranoid"

 177-bit classical security, 161-bit quantum security, 128-bit plausible lower bound

• 
$$n = 864, m = 8, q = 2^{15}$$

- $\chi$  = approximation to rounded Gaussian with 13 elements
- Failure: 2<sup>-33.8</sup>
- Total communication: 25.9 KiB

# Standalone performance

#### Implementations

Our implementations

- Ring-LWE BCNS15 LWE Frodo
- Pure C implementations Constant time

#### Compare with others

- RSA 3072-bit (OpenSSL 1.0.1f)
  ECDH nistp256 (OpenSSL)
  Use assembly code
- Ring-LWE NewHope
- NTRU EES743EP1
- SIDH (Isogenies) (MSR) Pure C implementations

#### Standalone performance

	Speed		Communication		Quantum Security
RSA 3072-bit	Fast	4 ms	Small	0.3 KiB	
ECDH nistp256	Very fast	0.7 ms	Very small	0.03 KiB	
Ring-LWE BCNS	Fast	1.5 ms	Medium	4 KiB	80-bit
Ring-LWE NewHope	Very fast	0.2 ms	Medium	2 KiB	206-bit
NTRU EES743EP1	Fast	0.3–1.2 ms	Medium	1 KiB	128-bit
SIDH	Very slow	35–400 ms	Small	0.5 KiB	128-bit
LWE Frodo Recom.	Fast	1.4 ms	Large	11 KiB	130-bit
McBits*	Very fast	0.5 ms	Very large	360 KiB	161-bit

First 7 rows: x86\_64, 2.6 GHz Intel Xeon E5 (Sandy Bridge) – Google n1-standard-4 \* McBits results from source paper [BCS13]

# TLS integration and performance

### Integration into TLS 1.2

<u>New ciphersuite:</u> TLS-KEX-SIG-AES256-GCM-SHA384

- SIG = RSA or ECDSA signatures for authentication
- KEX = Post-quantum key exchange
- AES-256 in GCM for authenticated encryption
- SHA-384 for HMAC-KDF



# Security within TLS 1.2

Model:

• authenticated and confidential channel establishment (ACCE) [JKSS12]

#### <u>Theorem:</u>

 signed LWE/ring-LWE ciphersuite is ACCE-secure if underlying primitives (signatures, LWE/ring-LWE, authenticated encryption) are secure

#### Interesting provable security detail:

- TLS proofs use active security of unauthenticated key exchange (IND-C<u>C</u>A KEM or PRF-ODH assumption)
- Doesn't hold for basic BCNS15/Frodo/NewHope protocols
- Solution:
  - move server's signature to end of TLS handshake OR
  - use e.g. Fujisaki–Okamoto transform to convert passive to active security KEM

# TLS performance

#### Handshake latency

- Time from when client sends first TCP packet till client receives first application data
- No load on server

#### Connection throughput

 Number of connections per second at server before server latency spikes

#### TLS handshake latency compared to RSA sig + ECDH nistp256





x86\_64, 2.6 GHz Intel Xeon E5 (Sandy Bridge) - server Google n1-standard-4, client -32

Note somewhat incomparable security levels

# TLS connection throughput

**ECDSA** signatures

bigger (top) is better



x86\_64, 2.6 GHz Intel Xeon E5 (Sandy Bridge) – server Google n1-standard-4, client -32 Note somewhat incomparable security levels

### Hybrid ciphersuites

- Use both post-quantum key exchange and traditional key exchange
- Example:
  - ECDHE + NewHope
    - Used in Google Chrome experiment
  - ECDHE + Frodo

- Session key secure if either problem is hard
- Why use post-quantum?
  - (Potential) security against future quantum computer
- Why use ECDHE?
  - Security not lost against existing adversaries if post-quantum cryptanalysis advances

# TLS connection throughput – hybrid w/ECDHE



x86\_64, 2.6 GHz Intel Xeon E5 (Sandy Bridge) - server Google n1-standard-4, client -32 Note somewhat incomparable security levels

# Open Quantum Safe

Collaboration with Mosca et al., University of Waterloo

https://openquantumsafe.org/

### **Open Quantum Safe**

- Open source C library
- Common interface for key exchange and digital signatures
- 1. Collect post-quantum implementations together
  - Our own software
  - Thin wrappers around existing open source implementations
  - Contributions from others
- 2. Enable direct comparison of implementations
- 3. Support prototype integration into application level protocols
  - Don't need to re-do integration for each new primitive how we did Frodo experiments

### **Open Quantum Safe architecture**



### **Current status**

- liboqs
  - ring-LWE key exchange using BCNS15
  - ring-LWE key exchange using NewHope\*
  - LWE key exchange using Frodo
  - [alpha] code-based key exchange using Neiderreiter with quasi-cyclic mediumdensity parity check codes

# Coming soon

- liboqs
  - benchmarking
  - key exchange:
    - SIDH, NTRU\*
- Integrations into other applications
  - libotr

- OpenSSL
  - integration into OpenSSL 1.0.2 head

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#### **Project leaders**

Michele Mosca and Douglas Stebila

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- Christian Paquin (MSR)
- Alex Parent (IQC)
- Douglas Stebila (McMaster)
- Sebastian Verschoor (IQC)

#### + Existing open-source code

# Summary

# Post-quantum key exchange for the Internet and the Open Quantum Safe project

- Ring-LWE is fast and fairly small
- LWE can achieve reasonable key sizes and runtime with more conservative assumption
- Performance differences are muted in application-level protocols
- Hybrid ciphersuites will probably play a role in the transition
- Parameter sizes and efficiency likely to evolve

Ring-LWE key exchange

https://eprint.iacr.org/2014/599

LWE key exchange (Frodo)

https://eprint.iacr.org/2016/659

Open Quantum Safe

- https://openquantumsafe.org/
- https://eprint.iacr.org/2016/1017

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